

FEB 23 2004

U.S. Department of Commerce  
Patent and Trademark Office

Atty Docket 0756-2297

Serial No. 09/837,558

INFORMATION DISCLOSURE STATEMENT

Applicants: Shunpei YAMAZAKI et al.

Filing Date: April 19, 2001

Group Art Unit: 2811

U.S. PATENT DOCUMENTS

aminer	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
<i>M</i>	5,315,132	05/24/1994	Yamazaki			
	5,463,483	10/31/1995	Yamazaki			
	5,485,019	01/16/1996	Yamazaki et al.			
	5,521,107	05/28/1996	Yamazaki et al.			
	5,532,850	07/02/1996	Someya et al.			
	5,621,224	04/15/1997	Yamazaki et al.			
<i>RA</i>	5,646,424	07/08/1997	Zhang et al.			

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aminer *John W. [Signature]* Date Considered *7/20/04*

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DIPE JC106  
FEB 23 2004

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b>	
Application Number		09/837,558	
Filing Date		April 19, 2001	
First Named Inventor		Shunpei YAMAZAKI et al.	
Art Unit		2814	
Examiner Name		Ngan V. Ngo	
Attorney Docket Number		740756-2297	
Sheet	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-2002/0093019 A1	07-18-2002	Hirabayashi et al.	
		US-2003/0038303 A1	02-27-2003	Hashimoto et al.	
		US-			
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup> Number <sup>5</sup> Kind Code <sup>6</sup> (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>3</sup>

Examiner Signature	<i>Ngan V. Ngo</i>	Date Considered	7/20/04
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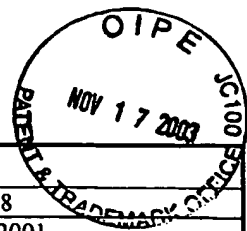
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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7/14/03

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		Filing Date	April 19, 2001		
		First Named Inventor	Shunpei YAMAZAKI et al.		
		Art Unit	2814		
		Examiner Name	Nathan W. Ha		
Sheet		of		Attorney Docket Number	740756-2297

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		Number - Kind Code <sup>2</sup> (if known)			
NS		US-6,323,068	11-27-2001	Seo	
NS		US-2001/0030722	10-18-2001	Murade	
NS		US-2002/0080295	6-27-2002	Someya et al.	
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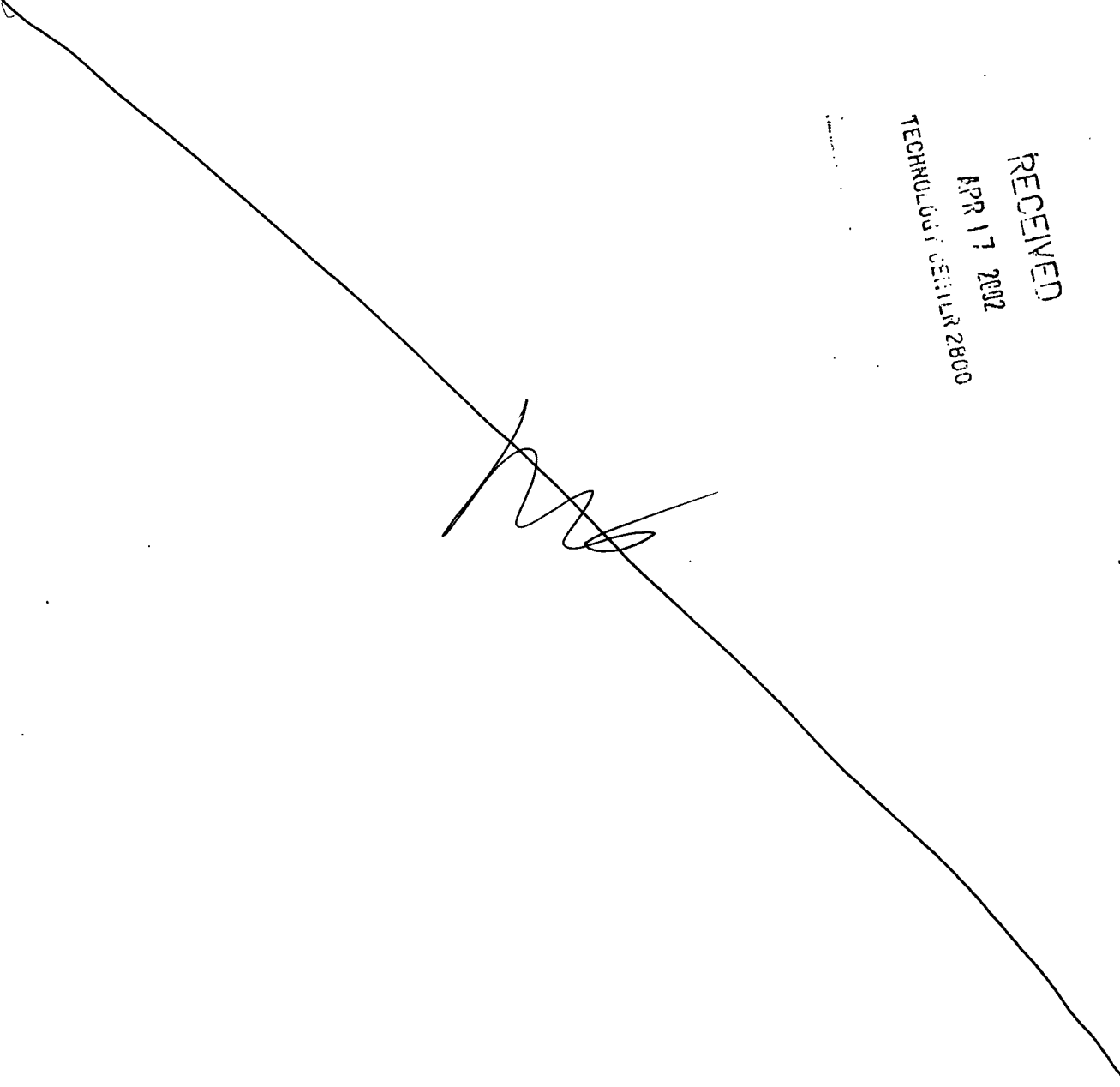
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>2</sup> (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>3</sup>
NS		Byeon, Sag Gi et al. "High-Performance Tantalum Oxide Capacitors Fabricated by a Novel Reoxidation Scheme" IEEE Transactions On Electron Devices, Vol. 37, No. 4, April 90	

Examiner Signature	<i>Nathan W. Ha</i>	Date Considered	2/20/04
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Form PTO-1449* (Rev. 8-83)		U.S. Department of Commerce Patent and Trademark Office		Atty Docket 0756-2297		Serial No. 09/837,558	
INFORMATION DISCLOSURE STATEMENT				Applicants: Shunpei YAMAZAKI et al.			
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FOREIGN PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No	
KA	11-354802	12/24/1999	JP			Full Eng	
 <div style="position: absolute; top: 300px; right: 100px; transform: rotate(-45deg);"> RECEIVED  APR 17 2002  TECHNOLOGY CENTER 2800 </div>							
Examiner <i>John W. [Signature]</i>				Date Considered <i>7/22/04</i>			
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		Number - Kind Code <sup>2</sup> (if known)			
		US-6,330,044 B1	12-11-2001	Murade	
		US-			
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Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
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